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Attorney at Law

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2010 SEP 20 P 3:16

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AZ CORP COMMISSION
DOCKET CONTROL

September 20, 2010

VIA HAND DELIVERY

Docket Control
Arizona Corporation Commission
1200 W. Washington Street
Phoenix, Arizona 85007

Arizona Corporation Commission

DOCKETED

SEP 20 2010

DOCKETED BY	
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RE: In the Matter of Richard Schmerman, et al.
Docket No. S-20757A-10-0373

Dear Sir/Madam:

Enclosed for filing are the original and thirteen (13) copies of a Notice of Appearance and Request For Hearing (the "Notice") in the above-referenced matter. Process the original, then date stamp the copy and return it to our office with our courier.

Also enclosed is one (1) additional copy of the Notice. Date-stamp this copy and return it to our office with our courier.

Please give me a call if you have any questions.

Very truly yours,

Tina M. Metzger
Legal Assistant

:tmm

Enclosures

cc: Matthew J. Neubert (via hand delivery)
Hearing Officer (via hand delivery)
Phong (Paul) Huynh, Esq. (via hand delivery)

BEFORE THE ARIZONA CORPORATION COMMISSION

KRISTIN K. MAYES

Chairman

GARY PIERCE

Commissioner

PAUL NEWMAN

Commissioner

SANDRA D. KENNEDY

Commissioner

BOB STUMP

Commissioner

2010 SEP 20 P 3:16

AT CORP COMMISSION
DOCKET CONTROL

In the matter of:

DOCKET NO. S-20757A-10-0373

RICHARD M. SCHMERMAN, individually
and d/b/a Diversified Financial and/or
Diversified Financial Planners, and Amy
Schmerman, husband and wife

**NOTICE OF APPEARANCE AND
REQUEST FOR HEARING**

Respondents.

Pursuant to A.A.C. R14-3-104, undersigned counsel enters her appearance on behalf of Respondents Richard M. Schmerman, Diversified Financial and/or Diversified Financial Planners and Amy Schmerman. Pursuant to A.A.C. R14-4-306(B) Respondents request a hearing. Respondents also request a pre-hearing conference.

RESPECTFULLY SUBMITTED this 20th day of September, 2010.

SARA R. ZISKIN, PC

By

Sara R. Ziskin

1819 East Morten Avenue, Suite 290
Phoenix, Arizona 85020

Attorney for Respondents Richard M.
Schmerman, Diversified Financial and/or
Diversified Financial Planners, and Amy
Schmerman

SARA R. ZISKIN, PC
1819 EAST MORTEN AVENUE
SUITE 290
PHOENIX ARIZONA 85020
TELEPHONE NO 602-252-1101
FACSIMILE 602-252-7101

1 ORIGINAL and thirteen copies of the foregoing
2 filed this 20th day of September, 2010 with:

3 Docket Control
4 Arizona Corporation Commission
5 1200 West Washington Street
6 Phoenix, AZ 85007

7 COPY of the foregoing hand-delivered
8 this 20th day of September, 2010 to:

9 Matthew J. Neubert
10 Director of Securities
11 Securities Division
12 Arizona Corporation Commission
13 1300 W. Washington Street, 3rd Floor
14 Phoenix, AZ 85007

15 Hearing Officer
16 Hearing Division
17 Arizona Corporation Commission
18 1200 W. Washington Street
19 Phoenix, AZ 85007

20 COPY of the foregoing hand-delivered
21 this 20th day of September, 2010 to:

22 Phong (Paul) Huynh
23 Securities Division
24 Arizona Corporation Commission
25 1300 W. Washington, 3rd Floor
26 Phoenix, AZ 85007

27 Jina M. Metzger